



## NOTIFICATION TO THE PARTIES

No. 2014/050

Geneva, 5 November 2014

CONCERNING:

### Special report format on measures taken in 2013 to address illegal wildlife trade

1. At its 16th meeting (CoP16, Bangkok, 2013), the Conference of the Parties adopted Decisions 16.43 – 16.46 on *Review of reporting requirements*.

Paragraph b) of Decision 16.43 states that Parties should:

*submit a special report by 30 June 2014, using a format provided by the Secretariat, which provides statistical information for the calendar year 2013 on: administrative measures (e.g. fines, bans, suspensions) imposed for CITES-related violations; significant seizures, confiscations and forfeitures of CITES specimens; criminal prosecutions or other court actions; and disposal of confiscated specimens.*

Paragraph d) of Decision 16.46 directs the Secretariat to develop a special report format for use by Parties under Decision 16.43.

2. As indicated in the discussion document on special reporting requirements (document SC65 Doc. 24.2) for the 65th meeting of the Standing Committee (SC65, Geneva, July 2014), the provision of the above-mentioned special report format was unfortunately delayed and it was suggested that the Committee consider revising the deadline for Parties to submit such reports.
3. A draft special report format developed by the Secretariat for use by Parties was considered by members of the Standing Committee Working Group on Special Reporting Requirements in the margins of SC65. The Working Group also considered a request by the Secretariat to allow data submitted in response to the present Notification to be used in a global research and analysis study on wildlife and forest crime related to seizures of illegally-traded CITES specimens. The study is being supported by the International Consortium on Combating Wildlife Crime (ICWC), which comprises the CITES Secretariat, INTERPOL the United Nations Office on Drugs and Crime, the World Bank and the World Customs Organization.
4. On the basis of the Chair's oral report of the Working Group meeting mentioned in paragraph 3 above, the Standing Committee agreed that a Notification should be issued containing a special report format for use by Parties in implementing Decision 16.43.
5. The draft special report format contained in the Annex to the present Notification has two parts:
  - Part 1 Administrative measures: contains relevant questions extracted from the current biennial report format provided in the Annex to Notification to the Parties No. 2005/035 of 6 July 2005;
  - Part 2 Seizure incident data: contains information fields for case-by-case seizure data on specimens of a limited number of CITES-listed species.

6. As the Standing Committee Working Group on Special Reporting Requirements would like to consider reported information during its meeting to be held in Geneva in January 2015, Parties are requested to submit their reports to the Secretariat on the form in the Annex **by 19 December 2014**. Completed forms should be sent by email to [penelope.benn@cites.org](mailto:penelope.benn@cites.org).

**Special report format on measures taken in 2013 to address illegal wildlife trade**

Party	
Department or agency preparing this report (please include contact details)	
Contributing departments, agencies and organizations	

**Part 1. Administrative measures**

		Yes	No	No Information
1	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	If 'Yes', please indicate how many and for what types of violations. If available, please attach details.			
3	Have any significant seizures, confiscations or forfeitures of CITES specimens been made?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4	If information is available, indicate the number of: – Significant seizures/confiscations – Total seizures/confiscations If possible, please specify the total number of seizures and the number that were significant for each group of species, or attach details.	Number		
5	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6	If 'Yes', how many and for what types of violations? If available, please attach details.			
7	Have there been any other court actions against CITES-related violations?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8	If 'Yes', what were the violations involved and what were the results? Please attach details .			
9	How were the confiscated specimens usually disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input type="checkbox"/>
	– Designated rescue centres			<input type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify):			<input type="checkbox"/>
	Comments:			

## Part 2. Seizure incident data

Data on each separate seizure of species of **great apes**<sup>1</sup>, **marine turtles**<sup>2</sup>, **rosewood**<sup>3</sup> and **red sanders**<sup>4</sup> are also being collected to allow the Secretariat, working with ICCWC partner organizations, to conduct an assessment of the characteristics of illegal trade in these species, and to identify the most pressing areas for attention and knowledge gaps, as part of a global study on the scale and nature of wildlife and forest crime. This study will mark the first time that national data on seizures provided as part of CITES reporting requirements have been analysed at a global scale.

The following information is requested *for each incident*. An Excel spreadsheet template has been developed for Parties to provide this information in a consistent manner. The spreadsheet can be downloaded from the CITES website as an attachment to this Notification. However, with the aim of minimizing the reporting burden on Parties, if seizure data are already formatted in another manner, they can be sent to the Secretariat in that format; in this case the Excel spreadsheet template does not need to be used.

Date of seizure	
Description of contraband	
Number or weight of items	
Scientific and common names of the species involved	
Location of the incident	
Detecting agency	Police <input type="checkbox"/> Customs <input type="checkbox"/> Wildlife Agency <input type="checkbox"/> Other (please specify):
Reason for seizure	No CITES Permit <input type="checkbox"/> Mis-declared <input type="checkbox"/> Illegal crossing <input type="checkbox"/> Other (please specify):
Means of transport	Air <input type="checkbox"/> Sea <input type="checkbox"/> Land <input type="checkbox"/> Post <input type="checkbox"/>
Method of concealment	
Country or place of origin	
Country(ies) of transit (if any)	
Final destination	
Estimated value in your country	

<sup>1</sup> Bonobo and chimpanzee (*Pan spp.*), gorilla (*Gorilla beringei*, *Gorilla gorilla*) and orang-utan (*Pongo abelii* and *Pongo pygmaeus*)

<sup>2</sup> *Cheloniidae* spp., and leatherback turtle (*Dermochelys coriacea*)

<sup>3</sup> *Dalbergia* spp.

<sup>4</sup> *Pterocarpus santalinus*

Nationality of offenders involved (if known)	
Law under which charges were brought	
Sanction	
Disposal of confiscated specimens	Return to country of export <input type="checkbox"/> Public zoos or botanical gardens <input type="checkbox"/> Designated rescue centres <input type="checkbox"/> Approved, private facilities <input type="checkbox"/> Euthanasia <input type="checkbox"/> Other (please specify):

The ICCWC-supported global research and analysis study mentioned above is aimed at helping Parties and their partners to take targeted action to prevent illicit wildlife trade in CITES-listed species, by providing a broad overview and examination of such trade based on the best available data. Accurate, comprehensive and reliable data are crucial to improving current understanding of the location, type, *modus operandi*, targets and scale of wildlife and forest crime. The study will add value to national reporting as well, ensuring that data provided by Parties are adequately analysed to generate information that could inform discussions and decision-making. The Secretariat encourages Parties to allow the information they submit to be used for this study.

Please indicate below whether the Secretariat may make available to other ICCWC partner organizations the information provided in this report and any accompanying documentation, for use in the global research and analysis study.

**Please tick one of the following boxes:**

- Yes**, the information provided in response to this Notification may be used in the ICCWC-supported global study on wildlife crime.
- No**, the information provided in response to this Notification may not be used in the ICCWC-supported global study on wildlife crime.