



## NOTIFICATION TO THE PARTIES

No. 2014/063

Geneva, 17 December 2014

CONCERNING:

### Toolkit and guidelines on CITES and livelihoods

1. At its 16th meeting (CoP16, Bangkok, 2013), the Conference of the Parties adopted Decisions 16.24 and 16.25 on *CITES and livelihoods*, as follows:

#### 16.24

*The Secretariat shall, via a Notification, invite Parties, stakeholders and interested organizations to provide comments on document CoP16 Inf. 21. The comments shall be made available for consideration by the Working Group.*

#### 16.25

*Subject to the availability of external funds, the Secretariat shall, in collaboration with interested Parties and relevant international and regional organizations, facilitate the organization of workshops and side-events to showcase successful livelihood experiences, and create a section on the CITES website to publish experiences and case studies related to CITES and livelihoods submitted by Parties, stakeholders and interested organizations.*

2. In accordance with those decisions, the Secretariat invites Parties, stakeholders and interested organizations, to provide comments on document CoP16 Inf. 21, which is available at <http://www.cites.org/sites/default/files/eng/cop/16/inf/E-CoP16i-21.pdf>. This document contains a paper on rapid assessment tools to assess the impact of the implementation of CITES listings on the livelihoods of the poor and a paper on addressing livelihood impacts.
3. To facilitate the provision of feedback, the Standing Committee Working Group on CITES and Livelihoods has prepared a questionnaire, which is attached as Annex 1 to the present Notification. Parties, stakeholders and interested organizations are invited to submit their responses to the questionnaire and any other relevant feedback by email to the Secretariat ([juan.vasquez@cites.org](mailto:juan.vasquez@cites.org)) by **22 January 2015 at the latest**.
4. Parties, stakeholders and interested organizations are also invited to submit experiences and case studies related to CITES and livelihoods, to be published on the CITES website. A tentative list of potential case studies is included in Annex 2 to the present Notification.
5. The Working Group on CITES and Livelihoods will meet in Cispatá, Colombia, from 11 to 13 February 2015 to discuss successful livelihoods experiences and stimulate the exchange of lessons learned regarding the conservation and sustainable use of CITES-listed species. The expected outcome of the workshop is the review of document CoP16 Inf. 21 based on the feedback received in response to the present Notification.

6. The Standing Committee Working Group on CITES and Livelihoods is chaired by Peru with the following members: Argentina, Australia, Austria, Bolivia, Botswana, Brazil, Canada, Chile, China, Colombia, Guatemala, Indonesia, Kenya, South Africa, the United States of America, Zimbabwe, ITC, UNCTAD, UNEP-World Conservation Monitoring Centre, IUCN, Conservation Force, IFAW, IWMC – World Conservation Trust, Pro-Wildlife, RESP, Safari Club International Foundation, Species Survival Network and TRAFFIC.

Annex 1 – Questionnaire

Annex 2 – List of potential case studies

## **Questionnaire on the implementation of CoP16 Decisions on CITES and livelihoods**

### **Introduction:**

At its 16th meeting (CoP16, Bangkok, 2013), the Conference of the Parties adopted Resolution Conf. 16.6 and Decisions 16.17 to 16.25 on *CITES and livelihoods*.

### **Decisions directed to Parties**

- 16.17 *Exporting and importing countries are invited to carry out voluntary rapid assessments of the impact of implementation of CITES-listing decisions on the livelihoods of rural communities and to mitigate negative impacts.*
- 16.18 *Parties are encouraged to develop case studies and facilitate exchange visits between relevant stakeholders from the different ongoing conservation and sustainable use programmes which address issues related to CITES and livelihoods in order to stimulate the exchange of lessons learnt regarding CITES-listed species living in similar environments and/or social conditions.*
- 16.19 *Parties, intergovernmental and non-governmental organizations and public and private donors/investors are encouraged to support rapid assessments of the impact of the implementation of CITES-listing decisions on the livelihoods of rural communities, the implementation of activities which mitigate any negative impacts, and cooperation agreements between relevant national government agencies and rural communities.*

### **Decisions directed to the Standing Committee**

- 16.20 *The Standing Committee shall continue the operation of its Working Group on CITES and Livelihoods so that the Working Group can review the comments on the toolkit and guidelines submitted by Parties, stakeholders and interested organizations, and make recommendations to the Standing Committee.*
- 16.21 *The Working Group shall continue to work electronically through a forum available on the CITES website. The Working Group may, subject to availability of external funding, recommend to the Standing Committee that a meeting of the working group be required.*
- 16.22 *The Standing Committee shall review at its 65th and 66th meetings the progress made with regard to CITES and livelihoods.*
- 16.23 *The Standing Committee shall present a report at the 17th meeting of the Conference of the Parties on the progress made on this work.*

### **Decisions directed to the Secretariat**

- 16.24 *The Secretariat shall, via a Notification, invite Parties, stakeholders and interested organizations to provide comments on document CoP16 Inf. 21. The comments shall be made available for consideration by the Working Group.*
- 16.25 *Subject to the availability of external funds, the Secretariat shall, in collaboration with interested Parties and relevant international and regional organizations, facilitate the organization of workshops and side-events to showcase successful livelihood experiences, and create a section on the CITES website to publish experiences and case studies related to CITES and livelihoods submitted by Parties, stakeholders and interested organizations.*

## Questionnaire

**Name of the organization:** *Enter here the name of your country/organization*

### A. Regarding the rapid assessment of impacts (using the toolkit):

- 1) Have your institution or organization developed voluntary rapid assessments of the impacts of the implementation of CITES-listing decisions on the livelihoods of rural communities?

Yes       No

- 2) Have your institution or organization selected CITES-listed species and identified potentially-impacted communities that could participate in a case study?

Yes       No

If yes, indicate the name of the species and the communities:

*Enter here the name of the species and the communities*

- 3) Have your institution or organization developed or mandated national, provincial or local case studies on the current situation regarding livelihoods of rural communities concerned by the implementation of CITES-listing decisions?

Yes       No

- 4) Have your institution or organization facilitated field visit exchanges between relevant stakeholders from different ongoing conservation and sustainable use programmes which address issues related to CITES and livelihoods?

Yes       No

If yes, could you share any tangible evidence showing that the visits stimulated the exchange of lessons learnt regarding CITES-listed species living in similar environments and/or social conditions (videos, reports, manuals, programmes, etc.)?

Yes       No

- 5) Have you received expressions of interest from intergovernmental and nongovernmental organizations, donors or public and private investors to support rapid assessment of the impacts of the implementation of CITES-listing decisions on livelihoods of rural communities?

Yes       No

### B. Regarding the implementation of strategies addressing the impact of the CITES-listing decisions (using the guidelines):

- 1) Do you have any experience of implementing strategies to mitigate negative impacts on rural communities?

Yes       No

Indicate which:

a) Provide assistance, including short-term financial support to rural communities:

Yes       No

b) Provide alternatives to the communities that generate income, such as payment for ecosystem services, sustainable tourism and employment in ecotourism or gamekeepers:

Yes       No

c) Provide alternatives such as licenses or concessions for tourism, hunting, fishing and harvesting; and the development of alternative products:

Yes       No

d) Other mitigation strategies:

Yes       No

**C. Regarding empowerment of rural communities**

1) Do you have any experience of implementing empowerment strategies aimed at rural communities that have been or may be impacted by the implementation of CITES-listing decisions?

Yes       No

Indicate which:

a) promoting transparency and participation of rural communities in the development and implementation of national CITES-related policies:

Yes       No

b) maximizing the benefits for rural communities of CITES implementation and trade concerned, in particular to support poverty eradication;:

Yes       No

c) Promoting associations of primary users of wildlife:

Yes       No

d) recognizing resource tenure and ownership, and traditional knowledge of or in rural communities associated with CITES-listed species, subject to any applicable national or international law;:

Yes       No

**D. Regarding public awareness and education, including programmes for rural communities**

1) Do you have any experience of implementing public awareness and education programmes including rural communities?

Yes       No

If yes, indicate whether of the following aspects were covered:

a) the positive aspects of CITES and related legislation are understood;

Yes       No

b) CITES-listed species are conserved, and potential benefits to rural communities realized;

Yes       No

c) communities support policies and activities designed to reduce or eliminate illegal trade in specimens of CITES-listed species; and

Yes       No

**E. Regarding presentation of case studies at CITES and Livelihoods forthcoming meetings:**

Could you pick two relevant case studies on the topic of CITES and livelihoods?

- One case study could be on CITES decisions have had positive impacts on livelihoods of local populations (species and local community)
- The other case study could be on CITES decisions have had negative impacts on livelihoods of local populations (species and local community).

*Please type your answer here.*

Does your country have the resources for the development of a case study (preparation of a document) on the impacts of the implementation of CITES-listing decisions on the livelihoods of a specific community?

*Please type your answer here.*

Is your institution or organization able to share one of the case studies at an international workshop on CITES and livelihoods?

*Please type your answer here.*

Does your country have the technical resources to implement tools for the rapid assessment, at national level, of the positive and negative impacts of the implementation of CITES-listing decisions on livelihoods of local populations?

*Please type your answer here.*

Does your country have the financial resources to implement these tools?

*Please type your answer here.*

## **List of potential case studies**

### **Species specific cases**

1. African grey parrots and livelihoods of rural communities
2. *Amazona estiva* and livelihoods of indigenous people
3. Crocodiles and livelihoods of rural communities
4. Markhor and Livelihoods of rural communities
5. Pangolins and livelihoods of rural communities
6. Polar bears and livelihoods of indigenous peoples
7. Rosewood and livelihoods of rural communities
8. Shark listings and livelihoods of small scale fisheries
9. Snakes and livelihoods of rural communities
10. Snow leopard and livelihoods of rural communities

### **Thematic cases**

11. Bushmeat and livelihoods of rural communities
12. Criteria to determine a successful livelihood experience
13. NTFPs and livelihoods of rural communities
14. Sustainable tourism and livelihoods of rural communities
15. Wildlife crime and livelihoods of rural communities