



## NOTIFICATION TO THE PARTIES

No. 2016/006

Geneva, 5 February 2016

CONCERNING:

New implementation report format  
(formerly known as biennial report)

1. At its 66th meeting (Geneva, January 2016), the Standing Committee adopted a new implementation report format that is not subject to compliance procedures. The last biennial report for 2013-2014 was due on 31 October 2015. To reflect the three year cycle between meetings of the Conference of the Parties, the implementation report covering the period 2015-2017 is due on 31 October 2018.
2. Subject to the availability of external funds, the Secretariat will make a version of the implementation report available using the CITES Online Reporting System by 31 October 2017 (at least a year in advance of the deadline set for submission of reports to the 18th Conference of the Parties).
3. The new implementation report format can be found in the Annex to this Notification.
4. This Notification replaces Notification to the Parties No. 2005/035 of 6 July 2005.

### **Implementation report format**

The format below follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented.

#### **CITES vision statement**

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in [Resolution Conf. 11.17 \(Rev. CoP16\)](#) which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat  
International Environment House  
Chemin des Anémones 11-13  
CH-1219 Châtelaine-Geneva  
Switzerland

Email: [info@cites.org](mailto:info@cites.org)  
Tel: +41-(0)22-917-81-39/40  
Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party	
Period covered in this report	
Department or agency preparing this report	
Contributing departments, agencies and organizations	

**GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION**

**Objective 1.1** Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.  
[All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12, Target 17 and Target 18.](#)

**Indicator 1.1.1:** The number of Parties that are in category 1 under the national legislation project.

1.1.1a	Have any CITES relevant policies or legislation been developed during the period covered in this report? Yes <input type="checkbox"/> No <input type="checkbox"/> If 'Yes', have you shared information with the Secretariat? Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable <input type="checkbox"/> If 'No', please provide details to the Secretariat with this report:
1.1.1b	Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)? Yes <input type="checkbox"/> No <input type="checkbox"/> If 'No', please provide details of the constraints faced:

**Objective 1.2** Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.  
[Aichi Target 3.](#)

**Indicator 1.2.1:** The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information
1.2.1a	Do you have standard operating procedures for application for and issuance of permits? Are the procedures publicly available?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.2.1b	Do you have: Electronic data management and a paper-based permit issuance system?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Electronic permit information exchange to Management Authorities of all countries?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Electronic permit data exchange between Management Authorities and customs?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Electronic permit used to cross border with electronic validation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

by customs?			
If 'Yes' to any of the above, please provide information on challenges faced or issues overcome:			
If 'No', do you have any plans to move towards e-permitting <sup>1</sup> ?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
If you are planning to move towards e-permitting, please explain what might help you to do so:			

Indicator 1.2.2: The number of Parties making use of the simplified procedures provided for in [Resolution Conf. 12.3 \(Rev. CoP16\)](#).

1.2.2a	Has your country developed simplified procedures for any of the following?	Tick all applicable		
		Yes	No	No information
	Where biological samples of the type and size specified in Annex 4 of <a href="#">Resolution Conf. 12.3</a> (Rev. CoP16) are urgently required.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	For the issuance of pre-Convention certificates or equivalent documents in accordance with <a href="#">Article VII</a> , paragraph 2.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Are there other cases judged by a Management Authority to merit the use of simplified procedures? If 'Yes', please provide details:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

**Objective 1.3** Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties.  
[All Aichi targets relevant to CITES, particularly Target 9, Target 14 and Target 18.](#)

Indicator 1.3.1: The number of Parties that have implemented relevant reporting under Resolutions and Decisions of the Conference of the Parties and/or Standing Committee recommendations.

1.3.1a	<p>Has your country responded to all relevant special reporting requirements that are active during the period covered in this report, including those in the Resolutions and Decisions of the Conference of the Parties, Standing Committee recommendations, and Notifications issued by the Secretariat (see <a href="#">link to location on the CITES website where the reporting requirements are listed</a>)?</p> <p>Responses provided to ALL relevant reporting requirements <input type="checkbox"/></p> <p>Responses provided to SOME of the relevant reporting requirements <input type="checkbox"/></p> <p>Responses provided to NONE of the relevant reporting requirements <input type="checkbox"/></p> <p>No special reporting requirements applicable <input type="checkbox"/></p>
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<sup>1</sup> e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

1.3.1b	<p>Were any difficulties encountered during the period covered in this report in implementing specific Resolutions or Decisions adopted by the Conference of the Parties? <span style="float: right;">Yes <input type="checkbox"/> No <input type="checkbox"/></span></p> <p>If 'Yes', please provide details of which Resolution(s) or Decision(s), and, for each, what difficulties were / are being encountered?</p>
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**Objective 1.4** The Appendices correctly reflect the conservation needs of species.  
[Aichi Target 1](#), [Target12](#), [Target 14](#) and [Target 19](#).

1.4.1: The number and proportion of species that have been found to meet the criteria contained in Resolution Conf. 9.24 or its successors. This includes both the periodic review and amendment proposals.

1.4.1a	<p>Have you undertaken any reviews of whether species would benefit from listing on the CITES Appendices? <span style="float: right;">Yes <input type="checkbox"/> No <input type="checkbox"/></span></p> <p>If 'Yes', please provide a summary here, or a link to the report of the work (or a copy of that report to the Secretariat if the work is not available online):</p>
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**Objective 1.5** Best available scientific information is the basis for non-detriment findings.  
[Aichi Target 2](#), [Target 4](#), [Target 5](#), [Target 6](#), [Target 7](#), [Target 9](#), [Target 12](#) and [Target 14](#).

Indicator 1.5.1: The number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 on Non-detriment findings related to:

- a) the population status of Appendix-II species;
- b) the trends and impact of trade upon Appendix-II species; and
- c) the status of and trend in naturally-occurring Appendix I species and the impact of any recovery plans.

1.5.1a		Yes	No	Not Applicable	If Yes, How many?
	Have any surveys, studies or other analyses been undertaken <u>in your country</u> in relation to:				
	- the population status of Appendix II species?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the trends and impact of trade on Appendix II species?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the status of and trend in naturally-occurring Appendix I species?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the impact of any recovery plans on Appendix I species?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	<p>If there are such studies that you are willing to share, please provide:</p> <p>Species name (scientific)</p> <p>A brief summary of the results of the survey, study or other analysis (e.g. population status, decline / stable / increase, off-take levels etc), or provide links to published reference material.</p>																					
<p>1.5.1b</p>	<p>How are the results of such surveys, studies or other analyses used in making non-detriment findings (NDFs)?</p> <p>Please tick all that apply</p> <p>Revised harvest or export quotas <input type="checkbox"/></p> <p>Banning export <input type="checkbox"/></p> <p>Stricter domestic measures <input type="checkbox"/></p> <p>Changed management of the species <input type="checkbox"/></p> <p>Discussion with Management Authorities <input type="checkbox"/></p> <p>Discussion with other stakeholders? <input type="checkbox"/></p> <p>Other (please provide a short summary):</p>																					
<p>1.5.1c</p>	<p>Do you have specific conservation measures or recovery plans for naturally occurring Appendix-I listed species?</p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Not Applicable <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>If 'Yes', please provide a brief summary, including, if possible, an evaluation of their impact:</p>																					
<p>1.5.1d</p>	<p>Have you published any non-detriment findings that can be shared? Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If 'Yes', please provide links or examples to the Secretariat within this report:</p>																					
<p>1.5.1e</p>	<table border="0"> <thead> <tr> <th data-bbox="272 1346 1197 1413">Which of the following (A to F of paragraph a) x) of <a href="#">Resolution Conf. 16.7</a>) do you use in making non-detriment findings?</th> <th data-bbox="1197 1346 1276 1413">Yes</th> <th data-bbox="1276 1346 1442 1413">No</th> </tr> </thead> <tbody> <tr> <td data-bbox="272 1413 1197 1491">A. relevant scientific literature concerning species biology, life history, distribution and population trends.</td> <td data-bbox="1197 1413 1276 1491"><input type="checkbox"/></td> <td data-bbox="1276 1413 1442 1491"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="272 1491 1197 1536">B. details of any ecological risk assessments conducted.</td> <td data-bbox="1197 1491 1276 1536"><input type="checkbox"/></td> <td data-bbox="1276 1491 1442 1536"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="272 1536 1197 1603">C. scientific surveys conducted at harvest locations and at sites protected from harvest and other impacts.</td> <td data-bbox="1197 1536 1276 1603"><input type="checkbox"/></td> <td data-bbox="1276 1536 1442 1603"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="272 1603 1197 1648">D. relevant knowledge and expertise of local and indigenous communities.</td> <td data-bbox="1197 1603 1276 1648"><input type="checkbox"/></td> <td data-bbox="1276 1603 1442 1648"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="272 1648 1197 1693">E. consultations with relevant local, regional and international experts.</td> <td data-bbox="1197 1648 1276 1693"><input type="checkbox"/></td> <td data-bbox="1276 1648 1442 1693"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="272 1693 1197 1852">F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.</td> <td data-bbox="1197 1693 1276 1852"><input type="checkbox"/></td> <td data-bbox="1276 1693 1442 1852"><input type="checkbox"/></td> </tr> </tbody> </table>	Which of the following (A to F of paragraph a) x) of <a href="#">Resolution Conf. 16.7</a> ) do you use in making non-detriment findings?	Yes	No	A. relevant scientific literature concerning species biology, life history, distribution and population trends.	<input type="checkbox"/>	<input type="checkbox"/>	B. details of any ecological risk assessments conducted.	<input type="checkbox"/>	<input type="checkbox"/>	C. scientific surveys conducted at harvest locations and at sites protected from harvest and other impacts.	<input type="checkbox"/>	<input type="checkbox"/>	D. relevant knowledge and expertise of local and indigenous communities.	<input type="checkbox"/>	<input type="checkbox"/>	E. consultations with relevant local, regional and international experts.	<input type="checkbox"/>	<input type="checkbox"/>	F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.	<input type="checkbox"/>	<input type="checkbox"/>
Which of the following (A to F of paragraph a) x) of <a href="#">Resolution Conf. 16.7</a> ) do you use in making non-detriment findings?	Yes	No																				
A. relevant scientific literature concerning species biology, life history, distribution and population trends.	<input type="checkbox"/>	<input type="checkbox"/>																				
B. details of any ecological risk assessments conducted.	<input type="checkbox"/>	<input type="checkbox"/>																				
C. scientific surveys conducted at harvest locations and at sites protected from harvest and other impacts.	<input type="checkbox"/>	<input type="checkbox"/>																				
D. relevant knowledge and expertise of local and indigenous communities.	<input type="checkbox"/>	<input type="checkbox"/>																				
E. consultations with relevant local, regional and international experts.	<input type="checkbox"/>	<input type="checkbox"/>																				
F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.	<input type="checkbox"/>	<input type="checkbox"/>																				

Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a	<p style="text-align: right;">Yes    No    No information</p> <p>Do you have standard procedures for making non-detriment findings in line with <a href="#">Resolution Conf. 16.7</a>? <span style="float: right;"><input type="checkbox"/>   <input type="checkbox"/>   <input type="checkbox"/></span></p> <p>If 'Yes', please briefly describe your procedures for making non-detriment findings, or attach as an annex to this report, or provide a link to where the information can be found on the internet:</p>
1.5.2b	<p>When establishing non-detriment findings, have any of the following guidance been used? <span style="float: right;">Please tick all that apply</span></p> <p style="text-align: right;">Virtual College <input type="checkbox"/></p> <p style="text-align: right;">IUCN Checklist <input type="checkbox"/></p> <p style="text-align: right;">Resolution Conf. 16.7 <input type="checkbox"/></p> <p style="text-align: right;">2008 NDF workshop <input type="checkbox"/></p> <p style="text-align: right;">Species specific guidance <input type="checkbox"/></p> <p style="text-align: right;">Other <input type="checkbox"/></p> <p>If 'Other' or 'Species specific guidance', please specify details:</p>
1.5.2c	<p>How often do you review and/or change your non-detriment findings? <span style="float: right;">Case by case <input type="checkbox"/></span></p> <p style="text-align: right;">Annually <input type="checkbox"/></p> <p style="text-align: right;">Every two years <input type="checkbox"/></p> <p style="text-align: right;">Less frequently <input type="checkbox"/></p> <p style="text-align: right;">A mix of the above <input type="checkbox"/></p> <p>Please describe the circumstances under which non-detriment findings would be changed:</p>

Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

1.5.3a	<p>Do you set annual export quotas? <span style="float: right;">Yes <input type="checkbox"/></span></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p>If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how quotas are set:</p> <p>Species Name (scientific) <span style="float: right;">Population Survey? <input type="checkbox"/></span></p> <p style="text-align: right;"><input type="checkbox"/></p> <p style="text-align: right;"><input type="checkbox"/></p> <p style="text-align: right;"><input type="checkbox"/></p> <p style="text-align: right;">Other, please specify</p>
1.5.3b	<p>Have annual export quotas been set at levels which will ensure sustainable production and consumption? <span style="float: right;">Yes <input type="checkbox"/></span></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p>If 'Yes', please describe how this fits into your non-detriment finding process:</p>

**Objective 1.6** Parties cooperate in managing shared wildlife resources.  
 Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19.

**Indicator 1.6.1:** The number of bilateral and multilateral agreements that specifically provide for co-management of shared CITES listed species by range States.

1.6.1a	Is your country a signatory to any bilateral and/or multilateral agreements for co-management of shared species? <span style="float: right;">Yes <input type="checkbox"/> No <input type="checkbox"/></span> If 'Yes', please provide brief details, including the names of the agreements, and which other countries are involved:
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**Indicator 1.6.2:** The number of cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species.

1.6.2a	Do you have any cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species? <span style="float: right;">Yes <input type="checkbox"/> No <input type="checkbox"/></span>  If 'Yes', please list the species for which these plans are in place and provide a link or reference to a published plan for each species. Species Name (scientific)      Link or reference to a published plan
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**Indicator 1.6.3:** The number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared, CITES listed, species.

1.6.3a	Have the CITES authorities <i>received or benefited</i> from any of the following capacity-building activities provided by external sources?						
Please tick boxes to indicate which target group and which activity.		Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources <sup>2</sup> ?
Target group							
Staff of Management Authority		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Staff of Scientific Authority		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Staff of enforcement authorities		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Traders		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
NGOs		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (please specify):		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

<sup>2</sup> Please provide the names of Parties, and any non-Parties, involved.



1.6.3b	Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities to other range States?						Details
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
1.6.3c	In what ways do you collaborate with other CITES Parties?						Further detail / examples
		Never	Rarely	Sometimes	Very Often	Always	
	Information exchange	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Monitoring / survey	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Habitat management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Species management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Law enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Capacity building	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (please provide details)						

**Objective 1.7** Parties are enforcing the Convention to reduce illegal wildlife trade.  
 Aichi Target 4, Target 5, Target 6, Target 7, Target 9, Target 10, Target 12 and Target 19.

- Indicator 1.7.1:** The number of Parties that have, are covered by, or engaged with:
- an international enforcement strategy and/or action plan;
  - formal international cooperation, such as an international enforcement network;
  - a national enforcement strategy and/or action plan; and
  - formal national interagency cooperation, such as a national interagency enforcement committee.

1.7.1a	Do you have, are you engaged in, or covered by:	Yes	No	No Information
	- an international enforcement strategy and/or action plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- formal international cooperation, such as an international enforcement network?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- a national enforcement strategy and/or action plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

– formal national interagency cooperation, such as a national interagency enforcement committee?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
If ‘Yes’ to any of the above, please specify the level of engagement and provide additional details:			

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

1.7.2a	Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)?  If ‘Yes’, what do you do? If ‘Yes’ or ‘No, but review is under consideration’, which tools do you find of value?	Yes No, but review is under consideration No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
1.7.2b	Have you used the International Consortium on Combating Wildlife Crime (ICWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?  If ‘Yes’, please provide feedback on the parts of the toolkit used and how useful the toolkit or equivalent tools have been. Please specify improvements that could be made:  If ‘No’, please provide feedback on why not or what is needed to make the toolkit or equivalent tools useful to you:	Yes No, but toolkit use is under consideration No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences..

1.7.3a	Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime?  If ‘Yes’, please provide the title of the legislation and a summary of the penalties available	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
1.7.3b	Are criminal offences such as poaching and wildlife trafficking recognized as serious crime <sup>3</sup> in your country?  If ‘Yes’, please explain what criteria must be met for poaching or wildlife trafficking offences to be treated as serious crimes:	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

<sup>3</sup> The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

1.7.3c	<p>Do you have capacity to use forensic technology<sup>4</sup> to support the investigation of CITES offences?</p> <p>If 'Yes', please provide a brief summary of any samples from CITES-listed species that were collected and submitted to an appropriate forensic analysis facility (located in your country and/or another country) during the period covered in this report:</p> <p>If 'Yes', and your country has an appropriate forensic analysis facility for CITES-listed species, please indicate which species it applies to:</p>	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>																																
1.7.3d	<p>Did your authorities participate in or initiate any multi-disciplinary<sup>5</sup> law enforcement operation(s) targeting CITES-listed species during the period covered in this report?</p> <p>If 'Yes', please provide a brief summary, including any lessons learned which might be helpful for other Parties:</p>	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>																																
1.7.3e	<p>Do you have a standard operating procedure among relevant agencies for submitting information related to CITES offences to INTERPOL and/or the World Customs Organization?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>																																
1.7.3f	<p>Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?</p>	<table border="1"> <thead> <tr> <th data-bbox="890 898 970 1061">Yes</th> <th data-bbox="970 898 1043 1061">No</th> <th data-bbox="1043 898 1219 1061">No information</th> <th data-bbox="1219 898 1437 1061">If yes, how many times was this used during the period covered by this report?</th> </tr> </thead> <tbody> <tr> <td data-bbox="890 1061 970 1099"><input type="checkbox"/></td> <td data-bbox="970 1061 1043 1099"><input type="checkbox"/></td> <td data-bbox="1043 1061 1219 1099"><input type="checkbox"/></td> <td data-bbox="1219 1061 1437 1099"></td> </tr> <tr> <td data-bbox="890 1099 970 1137"><input type="checkbox"/></td> <td data-bbox="970 1099 1043 1137"><input type="checkbox"/></td> <td data-bbox="1043 1099 1219 1137"><input type="checkbox"/></td> <td data-bbox="1219 1099 1437 1137"></td> </tr> <tr> <td data-bbox="890 1137 970 1176"><input type="checkbox"/></td> <td data-bbox="970 1137 1043 1176"><input type="checkbox"/></td> <td data-bbox="1043 1137 1219 1176"><input type="checkbox"/></td> <td data-bbox="1219 1137 1437 1176"></td> </tr> <tr> <td data-bbox="890 1176 970 1214"><input type="checkbox"/></td> <td data-bbox="970 1176 1043 1214"><input type="checkbox"/></td> <td data-bbox="1043 1176 1219 1214"><input type="checkbox"/></td> <td data-bbox="1219 1176 1437 1214"></td> </tr> <tr> <td data-bbox="890 1214 970 1252"><input type="checkbox"/></td> <td data-bbox="970 1214 1043 1252"><input type="checkbox"/></td> <td data-bbox="1043 1214 1219 1252"><input type="checkbox"/></td> <td data-bbox="1219 1214 1437 1252"></td> </tr> <tr> <td data-bbox="890 1252 970 1290"><input type="checkbox"/></td> <td data-bbox="970 1252 1043 1290"><input type="checkbox"/></td> <td data-bbox="1043 1252 1219 1290"><input type="checkbox"/></td> <td data-bbox="1219 1252 1437 1290"></td> </tr> <tr> <td data-bbox="890 1290 970 1328"><input type="checkbox"/></td> <td data-bbox="970 1290 1043 1328"><input type="checkbox"/></td> <td data-bbox="1043 1290 1219 1328"><input type="checkbox"/></td> <td data-bbox="1219 1290 1437 1328"></td> </tr> </tbody> </table>	Yes	No	No information	If yes, how many times was this used during the period covered by this report?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Yes	No	No information	If yes, how many times was this used during the period covered by this report?																															
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<sup>4</sup> Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

<sup>5</sup> A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

<sup>6</sup> General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

<sup>7</sup> Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence is an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

<sup>8</sup> Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

<sup>9</sup> Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials.

<sup>10</sup> International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

<sup>11</sup> Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

	If 'Yes' to any of the above, please explain how each is used for CITES offences? Please provide a brief summary, including any lessons learned which might be helpful for other Parties:	
1.7.3g	Do you have institutional capacity to implement the legislative provisions listed in question 1.7.3f against CITES offences?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	If 'No', please provide a brief summary of your major capacity-building needs:	

**Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITES-listed species.**

1.7.4a	Do you use risk assessment to target CITES enforcement effort?	Always <input type="checkbox"/> Very often <input type="checkbox"/> Sometimes <input type="checkbox"/> Rarely <input type="checkbox"/> Never <input type="checkbox"/> No information <input type="checkbox"/>
1.7.4b	Do you have capacity to analyse information gathered on illegal trade in CITES-listed species?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
1.7.4c	Do you use criminal intelligence <sup>13</sup> to inform investigations into illegal trade in CITES-listed species?	Always <input type="checkbox"/> Very often <input type="checkbox"/> Sometimes <input type="checkbox"/> Rarely <input type="checkbox"/> Never <input type="checkbox"/> No information <input type="checkbox"/>
1.7.4d	Have you implemented any supply-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes <input type="checkbox"/> No, but activities are under development <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
1.7.4e	Have you implemented any demand-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes <input type="checkbox"/> No, but activities are under development <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>

<sup>12</sup> Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

<sup>13</sup> Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

**Indicator 1.7.5:** The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

During the period covered in this report:		Yes	No	No Information
1.7.5a	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences? If 'Yes', please indicate how many and for what types of offences. If available, please attach details:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.7.5b	Have there been any criminal prosecutions of CITES-related offences? If 'Yes', how many and for what types of offences? If available, please attach details:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.7.5c	Have there been any other court actions against CITES-related offences? If 'Yes', what were the offences involved and what were the results? Please attach details:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.7.5d	How were any confiscated specimens disposed of? – Return to country of export – Public zoos or botanical gardens – Designated rescue centres – Approved private facilities – Euthanasia – Other (please specify): Have you encountered any challenges in disposing of confiscated specimens?  Do you have good practice that you would like to share with other Parties?	Tick all that apply		
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

**Objective 1.8** Parties and the Secretariat have adequate capacity-building programmes in place.  
[Aichi Target 1, Target 12 and Target 19.](#)

**Indicator 1.8.1:** The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

1.8.1a	Do you have information resources or training in place to support: The making of non-detriment findings? Permit officers? Enforcement officers?	Yes	No
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
1.8.1b	Is the CITES Virtual College used as part of your capacity building work?  What improvements could be made in using the Virtual College for capacity building?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes?  What improvements could be made in using the ICCWC Toolkit for capacity building?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

**GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION**

**Objective 2.1** Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

**Objective 2.2** Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention.

[Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 20.](#)

**Indicator 2.2.1:** The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

2.2.1a	<p>Do you have an approved service standard(s)<sup>14</sup> for your Management Authority(ies)?</p> <p>If 'No', please go to Question 2.2.1d.</p> <p>If 'Yes', for which services are there standards, and what are those standards?</p> <p>If 'Yes', do you have performance targets for these standards<sup>15</sup>?</p> <p>If 'Yes', what are your performance targets?</p> <p>Do you publish your performance against service standard targets?</p> <p>If possible, please provide your performance against service standards during the period covered in this report:</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p> <ul style="list-style-type: none"> <li>- availability of funding?</li> <li>- number of staff?</li> <li>- a shortage of skills?</li> </ul> <p>If 'Yes' to a shortage of skills, which skills do you need more of?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p>
2.2.1b	<p>Do you have an approved service standard(s)<sup>47</sup> for your Scientific Authority(ies)?</p> <p>If 'No', please go to Question 2.2.1d.</p> <p>If 'Yes', for which services are there standards, and what are those standards?</p> <p>If 'Yes', do you have performance targets for these standards<sup>48</sup>?</p> <p>If 'Yes', what are your performance targets?</p> <p>If possible, please provide your performance against service standards during the period covered in this report:</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p> <ul style="list-style-type: none"> <li>- availability of funding?</li> </ul>	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p>

<sup>14</sup> For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

<sup>15</sup> For example, 85% of all decisions will take place within the service standard.

	<ul style="list-style-type: none"> <li>– number of staff? <span style="float: right;"><input type="checkbox"/></span></li> <li>– a shortage of skills? <span style="float: right;"><input type="checkbox"/></span></li> </ul> <p>If 'Yes' to a shortage of skills, which skills do you need more of?</p>	<input type="checkbox"/>	<input type="checkbox"/>																
2.2.1c	<p>Do you have an approved service standard(s)<sup>47</sup> for your enforcement authority(ies)?</p> <p>If 'No', please go to Question 2.2.1d.</p> <p>If 'Yes', for which services are there standards, and what are those standards?</p> <p>If 'Yes', do you have performance targets for these standards<sup>48</sup>?</p> <p>If 'Yes', what are your performance targets?</p> <p>If possible, please provide your performance against service standards during the period covered in this report:</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p> <ul style="list-style-type: none"> <li>– availability of funding?</li> <li>– number of staff?</li> <li>– a shortage of skills?</li> </ul> <p>If 'Yes' to a shortage of skills, which skills do you need more of?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p>	<p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p>																
2.2.1d	<p>Please only complete this question if you answered 'No' to the first part of question 2.2.1a, 2.2.1b, or 2.2.1c, relating to the existence of approved service standards for your authorities:</p> <p>Do you have sufficient of the following for your authorities to function effectively?</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th style="text-align: center;">Management Authority(ies)</th> <th style="text-align: center;">Scientific Authority(ies)</th> <th style="text-align: center;">Enforcement Authority(ies)</th> </tr> </thead> <tbody> <tr> <td>Funding?</td> <td style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></td> </tr> <tr> <td>Staff?</td> <td style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></td> </tr> <tr> <td>Skills?</td> <td style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></td> </tr> </tbody> </table>				Management Authority(ies)	Scientific Authority(ies)	Enforcement Authority(ies)	Funding?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Staff?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Skills?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>
	Management Authority(ies)	Scientific Authority(ies)	Enforcement Authority(ies)																
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Skills?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>																

**Indicator 2.2.2:** The number of Parties that have undertaken one or more of the following activities:

- changed the budget for activities;
- hired more staff;
- developed implementation tools;
- purchased technical equipment for implementation, monitoring or enforcement.

2.2.2a	<p>Have any of the following activities been undertaken during the period covered in this report to enhance the effectiveness of CITES implementation at the national level?</p> <p style="text-align: right;">Tick if applicable</p> <p>Hiring of more staff <span style="float: right;"><input type="checkbox"/></span></p> <p>Development of implementation tools <span style="float: right;"><input type="checkbox"/></span></p> <p>Purchase of technical equipment for implementation, monitoring or enforcement <span style="float: right;"><input type="checkbox"/></span></p> <p>Other (please specify):</p>
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2.2.2b	During the period covered in this report, was the budget for your:	Increased	Stable	Decreased	
	Management Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2.2.2c	Have you been able to use international development funding assistance to increase the level of implementation of your	Yes	No	Not applicable	
	Management Authority(ies)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority(ies)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement authorities?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2.2.2d	What is the respective level of priority for enhancing the effectiveness of CITES implementation at the national level through the following activities?				
	Activity	High	Medium	Low	Not a Priority
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for implementation, monitoring or enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	e-permitting	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.2.2e	Do you have a operational system (e.g. electronic database) for managing	Yes	Under development	No	
	Species information	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Trade information	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Non-detriment findings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

**Indicator 2.2.3:** The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

2.2.3a	Does the Management Authority charge fees for:	Tick all that are applicable	
	– Administrative procedures	<input type="checkbox"/>	<input type="checkbox"/>
	– Issuance of CITES documents (e.g. for import, exports, re-export, or introduction from the sea)	<input type="checkbox"/>	<input type="checkbox"/>
	– Shipment clearance (e.g. for the import, export, re-export, or introduction from the sea of CITES-listed species)	<input type="checkbox"/>	<input type="checkbox"/>
	– Licensing or registration of operations that produce CITES species	<input type="checkbox"/>	<input type="checkbox"/>
	– Harvesting of CITES-listed species	<input type="checkbox"/>	<input type="checkbox"/>
	– Use of CITES-listed species	<input type="checkbox"/>	<input type="checkbox"/>
	– Assignment of quotas for CITES-listed species	<input type="checkbox"/>	<input type="checkbox"/>
– Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	
2.2.3b	Is a fee schedule publicly available?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
	If 'Yes', please provide an internet link, or a copy of the schedule to the Secretariat:		



2.2.3c	Have revenues from fees been used for the implementation of CITES or wildlife conservation? Entirely <input type="checkbox"/> Partly <input type="checkbox"/> Not at all <input type="checkbox"/> Not relevant <input type="checkbox"/>																					
2.2.3d	<table border="0"> <tr> <td></td> <td style="text-align: right;">Yes</td> <td style="text-align: right;">No</td> </tr> <tr> <td>Do you raise funds for CITES management through charging user fees?</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Do your fees recover the full economic cost of issuing permits?</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Do you have case studies on charging or using fees?</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td colspan="3">If 'Yes' to any of the above, please provide brief details:</td> </tr> <tr> <td>Do you use innovative financial mechanisms to raise funds for CITES implementation?</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td colspan="3">If 'Yes', please provide brief details:</td> </tr> </table>		Yes	No	Do you raise funds for CITES management through charging user fees?	<input type="checkbox"/>	<input type="checkbox"/>	Do your fees recover the full economic cost of issuing permits?	<input type="checkbox"/>	<input type="checkbox"/>	Do you have case studies on charging or using fees?	<input type="checkbox"/>	<input type="checkbox"/>	If 'Yes' to any of the above, please provide brief details:			Do you use innovative financial mechanisms to raise funds for CITES implementation?	<input type="checkbox"/>	<input type="checkbox"/>	If 'Yes', please provide brief details:		
	Yes	No																				
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If 'Yes', please provide brief details:																						

**Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.**

2.2.4a	Do you use incentive measures <sup>16</sup> such as those described in <a href="#">CoP14 Doc 14.32</a> to implement the Convention? <table border="0"> <tr> <td></td> <td style="text-align: right;">Yes</td> <td style="text-align: right;">No</td> </tr> <tr> <td>Due diligence</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Compensatory mechanisms</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Certification</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Communal property rights</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Auctioning of quotas</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Cost recovery or environmental charges</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Enforcement incentives</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </table> If 'Yes' to any of the above, or if you use other measures, please provide a summary or link to further information:		Yes	No	Due diligence	<input type="checkbox"/>	<input type="checkbox"/>	Compensatory mechanisms	<input type="checkbox"/>	<input type="checkbox"/>	Certification	<input type="checkbox"/>	<input type="checkbox"/>	Communal property rights	<input type="checkbox"/>	<input type="checkbox"/>	Auctioning of quotas	<input type="checkbox"/>	<input type="checkbox"/>	Cost recovery or environmental charges	<input type="checkbox"/>	<input type="checkbox"/>	Enforcement incentives	<input type="checkbox"/>	<input type="checkbox"/>
	Yes	No																							
Due diligence	<input type="checkbox"/>	<input type="checkbox"/>																							
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Cost recovery or environmental charges	<input type="checkbox"/>	<input type="checkbox"/>																							
Enforcement incentives	<input type="checkbox"/>	<input type="checkbox"/>																							
2.2.4b	Have incentives harmful to biodiversity been eliminated? Not at all <input type="checkbox"/> Very little <input type="checkbox"/> Somewhat <input type="checkbox"/> Completely <input type="checkbox"/>																								

<sup>16</sup> Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and fauna and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

**Objective 2.3** Sufficient resources are secured at the national and international levels to implement capacity-building programmes.  
 Aichi Target 12, Target 19 and Target 20.

**Indicator 2.3.1:** The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	How many training and capacity building activities <sup>17</sup> have you run during the period covered in this report?	Without assistance from the Secretariat	Conducted or assisted by the Secretariat				
	None	<input type="checkbox"/>	<input type="checkbox"/>				
	1	<input type="checkbox"/>	<input type="checkbox"/>				
	2-5	<input type="checkbox"/>	<input type="checkbox"/>				
	6-10	<input type="checkbox"/>	<input type="checkbox"/>				
	11-20	<input type="checkbox"/>	<input type="checkbox"/>				
	More than 20	<input type="checkbox"/>	<input type="checkbox"/>				
Please list the Resolutions or Decisions involved:							
2.3.1b	What sorts of capacity building activities have taken place?						
2.3.1c	What capacity building needs do you have?  Please tick all boxes which apply to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders / other user groups	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (please specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

<sup>17</sup> An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

**GOAL 3 CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE**

**Objective 3.1** Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities.  
[Aichi Target 2 and Target 20.](#)

**Indicator 3.1.1:** The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?  If 'Yes', please provide brief details:	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:	Increased	<input type="checkbox"/>
		Remained stable	<input type="checkbox"/>
		Decreased	<input type="checkbox"/>

**Indicator 3.1.2:** The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided technical or financial assistance to another country or countries in relation to CITES?						Yes	<input type="checkbox"/>
							No	<input type="checkbox"/>
						No information	<input type="checkbox"/>	
If 'Yes', please tick boxes to indicate type of assistance provided								
	Country(ies)	Species Management <sup>18</sup>	Habitat Management <sup>19</sup>	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

<sup>18</sup> Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

<sup>19</sup> Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

**Objective 3.2** Awareness of the role and purpose of CITES is increased globally.  
 Aichi Target 1, Target 4, Target 12 and Target 18.

**Indicator 3.2.1:** The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

3.2.1a	Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's requirements by the wider public and relevant user groups?	Wider public	Relevant User Groups
	- Press conferences	<input type="checkbox"/>	<input type="checkbox"/>
	- Press releases	<input type="checkbox"/>	<input type="checkbox"/>
	- Newspaper articles, brochures, leaflets	<input type="checkbox"/>	<input type="checkbox"/>
	- Television appearances	<input type="checkbox"/>	<input type="checkbox"/>
	- Radio appearances	<input type="checkbox"/>	<input type="checkbox"/>
	- Presentations	<input type="checkbox"/>	<input type="checkbox"/>
	- Public consultations / meetings	<input type="checkbox"/>	<input type="checkbox"/>
	- Market surveys	<input type="checkbox"/>	<input type="checkbox"/>
	- Displays	<input type="checkbox"/>	<input type="checkbox"/>
	- Information at border crossing points	<input type="checkbox"/>	<input type="checkbox"/>
	- Telephone hotline	<input type="checkbox"/>	<input type="checkbox"/>
	- Website(s) – if so please provide link(s)	<input type="checkbox"/>	<input type="checkbox"/>
	- Other (specify):	<input type="checkbox"/>	<input type="checkbox"/>
Please attach copies of any items or describe examples:			

**Indicator 3.2.2:** The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the CITES website?					
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary).					
	Target group	Daily	Weekly	Monthly	Less frequently	Not known
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3.2.2b	What has been your experience with using the CITES website?	Excellent	<input type="checkbox"/>			
	Good	<input type="checkbox"/>				
	Average	<input type="checkbox"/>				
	Poor	<input type="checkbox"/>				
	Very Poor	<input type="checkbox"/>				
	No information	<input type="checkbox"/>				
Any further comments on the CITES Website? (e.g. useful aspects, any difficulties encountered, which authorities find which functions/tools most useful, what is missing, etc):						

**Indicator 3.2.3:** The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

**Objective 3.3** Cooperation with relevant international environmental, trade and development organizations is enhanced.

**Indicator 3.3.1** The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

3.3.1a	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC) <sup>20</sup> to which your country is party? If 'Yes', please give a brief description:	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

**Indicator 3.3.2:** The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has your country contributed towards?		
3.3.2b	In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?		
3.3.2c	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:	Yes	No
	Agencies for development?	<input type="checkbox"/>	<input type="checkbox"/>
	Agencies for trade?	<input type="checkbox"/>	<input type="checkbox"/>
	Provincial, state or territorial authorities?	<input type="checkbox"/>	<input type="checkbox"/>
	Local authorities or communities?	<input type="checkbox"/>	<input type="checkbox"/>
	Indigenous or local peoples?	<input type="checkbox"/>	<input type="checkbox"/>
	Trade or other private sector associations?	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs?	<input type="checkbox"/>	<input type="checkbox"/>
3.3.2d	Other (please specify)	<input type="checkbox"/>	<input type="checkbox"/>
	Are CITES requirements integrated into?	Yes	No
	National and local development strategies?	<input type="checkbox"/>	<input type="checkbox"/>
	National and local poverty reduction strategies?	<input type="checkbox"/>	<input type="checkbox"/>
	Planning processes?	<input type="checkbox"/>	<input type="checkbox"/>
	National accounting?	<input type="checkbox"/>	<input type="checkbox"/>

<sup>20</sup> CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

**Indicator 3.3.3:** The number of Parties cooperating / collaborating with intergovernmental and non-governmental organizations to participate in and/or fund CITES workshops and other training and capacity-building activities.

3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if applicable	Which organizations?
	Inter-governmental organizations?	<input type="checkbox"/>	
	Non-governmental organizations?	<input type="checkbox"/>	

**Objective 3.4** The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the relevant *Aichi Biodiversity Targets*, and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions.

[Aichi Target 1](#), [Target 2](#), [Target 3](#), [Target 4](#), [Target 5](#), [Target 6](#), [Target 7](#), [Target 12](#), [Target 14](#), [Target 17](#), [Target 18](#) and [Target 19](#).

**Indicator 3.4.1:** The conservation status of species listed on the CITES Appendices has stabilized or improved.

3.4.1a	Do you have data which shows that the conservation status of naturally occurring species in your country listed on the CITES Appendices has stabilized or improved?	Yes	No	Not Applicable
	Appendix I	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Appendix II	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Appendix III	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
If there are such studies that you are willing to share, please provide: Species name (scientific)      Link to the data, or a brief summary				
3.4.1b	Do you have examples of specific examples of success stories or emerging problems with any CITES listed species?  If 'Yes', please provide details:	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>

**Indicator 3.4.2:** The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).

3.4.2a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
3.4.2b	Have you been able to obtain funds from the Global Environment Facility (GEF) or other sources to support CITES aspects of NBSAP implementation?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

**Objective 3.5** Parties and the Secretariat cooperate with other relevant international organizations and agreements dealing with natural resources, as appropriate, in order to achieve a coherent and collaborative approach to species which can be endangered by unsustainable trade, including those which are commercially exploited.

[Aichi Target 2](#), [Target 4](#), [Target 5](#), [Target 6](#), [Target 7](#), [Target 10](#), [Target 12](#), [Target 14](#) and [Target 19](#).

**Indicator 3.5.1:** The number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade.

3.5.1a	Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade? If 'Yes', please provide details:	Yes <input type="checkbox"/>	
		No <input type="checkbox"/>	
		No information <input type="checkbox"/>	

**Indicator 3.5.2:** The number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.

3.5.2a	Average number of times per year that international organizations or agreements have been consulted by CITES Authorities	Once	2-5 times	6-20 times	More than 20 times	No consultation	Optional comment about which organizations and issues consulted on
	Management Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

**General feedback**

Please provide any additional comments you would like to make, including comments on this format.

Item		
Copy of full text of CITES-relevant legislation if changed	Enclosed	<input type="checkbox"/>
Web link(s)	Not available	<input type="checkbox"/>
	Previously provided	<input type="checkbox"/>
Please list any materials annexed to the report, e.g. fee schedules, awareness raising materials, etc:		
Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>
	No Information	<input type="checkbox"/>
If 'Yes', please describe the constraint and the type of attention or assistance that is required.		
Are there examples of good practice you would like to share with other Parties?	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>
	No Information	<input type="checkbox"/>
If 'Yes' please provide details / links:		
How could this report format be improved?		

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.